



Defence
Safety
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DSA03-DMR-SHIPPING

Defence Code of Practice No 00: Master Glossary for MOD Shipping Regulations

Defence Maritime Regulator

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DSA03-DMR-DCOP00: Master Glossary

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Issue	Amdt	Authority	Date	Key Changes
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Equality and Diversity: The text has been reviewed for consistency with the Department’s diversity and inclusion policy. This document has also been equality and diversity tested in accordance with Departmental policy, with no direct discrimination or adverse impact being identified.

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Format

This Document is formatted in compliance with the Defence Maritime Regulator style guide and editorial standards, conforming to DSA style guides as follows.

Important Note	<p>The term must indicates a legal requirement, and will have the legal provenance referenced. The term shall indicates a Defence Regulation and the provenance of the rule will be referenced. The term should indicates one or more Risk Control Option (RCO) considered within a DCOP to be a good practice and sufficient to demonstrate compliance with the regulation it is beneath. Other alternative approaches may be suitable where they produce an outcome to the mutual satisfaction of Duty Holder and Regulator that is at least as good as the means proposed.</p> <p>Phrases defined in the DMR Master Glossary are shown in the text by using coloured bold text. The phrases defined apply to all DSA02-DMR and DSA03-DMR publications.</p> <p>► Proposed alterations are shown as new text or struck out as necessary in red ◀</p>
Regulation	<p>The Defence Safety Authority (DSA) sets out rules and standards for Defence Health, Safety and Environmental Protection (HS&EP) in three broad levels of publications that is consistent with the Secretary of State for Defence's Policy Statement:</p> <p>a. Level 1 – Departmental Policy for Defence HS&EP; b. Level 2 – Defence Regulations owned by Defence Regulators; and</p> <p>The Defence Maritime Regulator's Defence Regulations (Level 2) can be found in DSA02-DMR-MOD Shipping Regulations.</p>
Defence Code of Practice	<p>c. Level 3 – Defence Codes of Practice (DCOP) assist in compliance with Defence Safety Regulatory Publications, including the DSA02-DMR-MOD Shipping Regulations (Ex JSP430). This level 3 DCOP is sponsored by the DMR, under the authority of the Defence Safety Authority (DSA) Charter and the direction of the Secretary of State for Defence.</p> <p>Defence Codes of Practice (DCOPs) are DSA (Level 3) publications forming an approved means of compliance with one or more Defence Regulations, recognised by the Defence Authority Regulators as aiding compliance, where DSA has authority (within the limits of legislation) particularly overseas, where Defence activities may occur beyond UK territorial limits.</p>
Guidance	<p>Background information developed to accompany a DCOP, by providing additional explanation, to assist the application of the regulation, or to help illustrate the meaning of specifications and requirements.</p> <p>If collectively followed, this will normally be sufficient to satisfy the Regulator. In seeking to assure compliance with the Regulations the Regulator may offer guidance to illustrate good practice.</p>



INTRODUCTION

The Purpose of Defence Code of Practice (DCOP00)

1. The purpose of this DCOP 00 is to provide a single Master Glossary for the terms used in all DMR publications, the Maritime Legislation Database (MLD) and the ERegister of Shipping.
2. This Master Glossary should be read in conjunction with all DMR 02 Regulations, 03 DCOPs, other Defence Safety Regulatory Publications (DSRP) and the DSA 01.4 - Master Glossary. The Glossary represents the authoritative definitions for use in the Maritime regulatory domain. Common phrases and terms are placed in this single Master Glossary for MOD Shipping Regulations, Ports and Harbours Regulations and Diving Regulations and compliment a single DSA Lexicon of terms. This DCOP is the Master Glossary of Terms and Definitions.
3. Phrases defined in this DMR Master Glossary (DCOP 00) are shown in the text by using **coloured bold text** in each DCOP. The phrases defined apply to all DSA02-DMR and DSA03-DMR documents and the DCOPs are listed in the Quick Start Guide. The Master Glossary includes reference to which DSRP the definition can be found in as not every definition exists in every DSRP. The Master Glossary also includes the definitions to assist with using the Maritime Legislation Database (MLD) and MOD Shipping ERegister, providing a single source for all DMR definitions.

MOD SHIPPING REGULATIONS - DCOP00 - MASTER GLOSSARY

Rationale	<p><i>The use of a common Lexicon establishes a common language and understanding within the community and provides a benchmark of common definitions that may be adopted within other organisations.</i></p> <p><i>All accountable persons should understand the meanings behind words and concepts within standards and be aware of the differences in definitions in other domains of the DSRP, during different phases of acquisition, MOD Shipping activity during force generation, training and whilst on operations. Similarly with the legal baseline and understanding of different forms of legislation that may apply to the maritime domain.</i></p>
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MASTER GLOSSARY

Term	Definition	DMR DSRP
Acceptable means of Compliance	A recognised method of achieving the intent of a Regulation clause. Guidance will generally be written in the permissive sense (using the permissive verb should) in order to allow a Regulated Entity to consider alternative approaches. <i>[Adapted from the MAA Master Glossary]</i>	Shipping Regs, Ports & Harbours Regs
Accession	A type of consent legally binding the signatory nation to the terms of a particular Treaty , generally by a state that was not party to the original negotiations. <i>[Vienna Convention on the Law of Treaties]</i>	Maritime Legislation Database
Accident	An event , or sequence of events , that causes unintended harm . <i>[DEF STAN 00-056 Part 1, Issue 7]</i>	Shipping Regs, Diving Regs
Accident Model	An academic postulation of the processes, contributing factors and root causes of an accident sequence used to structure evidence in support of an investigation or help effective analysis of that evidence. Understanding of the nature of accidents , including the organisation within which accidents and incidents occur, is essential to all Investigations and may highlight patterns or hot-spots for future audit and inspection priorities. <i>[DMR]</i>	Shipping Regs
Accident Sequence	A potential progression of events that results in an accident . <i>[International Handbook for Engineering Safety Management (iESM), Volume 2, issue 1.1 Dec 2013]</i>	Shipping Regs
Accountable/ Accountability	A duty of care required of a named person to justify personal actions or decisions for activities, made within their area of responsibility for an activity . <i>[DMR]</i>	Shipping Regs, Diving Regs
Accountable Person	Any individual held accountable to the Regulator for reducing risk of harm and, where applicable, complying with Defence Regulations. A person carrying out a required activity within an Operational, Duty Holding or Duty Holder facing organisation (or Authority). An individual sanctioned to continue an activity where there is an Operational Imperative <i>[Adapted from 2016DIN06-020]</i> An MOD person in a key position who is responsible for the control of activities that could give rise to an health, safety and/or environmental impact, risk or opportunity or that could be affected by the environment. The MOD person is held to account by a Defence Regulatory/Authority, statutory Regulator/Authority or the SoS for Defence.	Shipping Regs, Diving Regs
Accreditation/ Accrediting	The process in which certification of competency, authority, or credibility is presented and Duly Authorised by the Regulator . <i>[Oxford Legal Dictionary]</i>	Shipping Regs

Term	Definition	DMR DSRP
Acquisition	How MOD works with industry to provide the necessary military capability to meet the needs of UK Armed Forces now and in the future. It covers the setting of requirements; the selection, development and manufacture of a solution to meet those requirements; the introduction into service and support of equipment or other elements of capability through life, and its appropriate disposal. <i>[Adapted from the ASG]</i>	Shipping Regs, Diving Regs
Acquisition Cycle	A route to identify a Capability gap and deliver a solution through sequenced and distinct phases of structured management arrangements to progressively deliver the project requirements. The MOD's standard life cycles for acquisition are CADMID and CADMIT , but other life cycles may be appropriate for specific products, systems, services or capabilities. <i>[APM BoK v5]</i>	Shipping Regs, Diving Regs
Act	A primary Act of Parliament (UK statute) which creates new law or changes an existing law. Acts may confer powers on Ministers or their Statutory Regulators, often under a broad enabling framework to publish secondary legislation (detailed orders, rules or regulations as statutory instruments) used for necessary detail too complex to include within an Act itself. Acts and single Bills are approved by both Houses of Parliament, and agreed to by the Crown, before being implemented as law. They may apply to the UK as a whole, or specific areas of the country. Used in the 'Type of Rule' field in the MLD . <i>[www.parliament.uk/about/how/laws/acts/]</i>	Maritime Legislation Database
Act (Non-UK)	Refers generically to an item of Statute made by another nation other than by the UK Parliament. Used in the 'Type of Rule' field in the MLD . <i>[www.parliament.uk/about/how/laws/acts/]</i>	Maritime Legislation Database
Activity	A generic term for the process, operation or action in pursuit of a Defence Task . An activity will trigger one or more events presenting a hazard to people, fauna or flora using a physical system or platform; within a management arrangement at a physical location. <i>[DMR]</i>	Shipping Regs and Ports & Harbours Regs
Additional Performance Level	A level of safety performance necessary for foreseeable operational conditions that MOD Shipping may be engaged upon, as specified in the CONOPS and is shown to be above Equivalent Performance Levels . <i>[Adapted from ANEP77]</i>	Shipping Regs
Adopted but not yet In Force	The adoption of a convention before it comes into force (is binding upon Governments which have ratified it). It has to be formally acceded / accepted by individual Governments. In the MLD this refers to amendments made to IMO instruments, in the 'Rule Status' field. <i>[Adapted from the IMO]</i>	Maritime Legislation Database
Affected Service Activity	Military activities conducted by Civil Registered ships (GOCO, COGO and COCO) listed in MOU between the MOD and MCA as beyond certified civil activities . <i>[MOU between MOD, MCA and MAIB]</i>	Shipping Regs
Agreement	A term in international law, more commonly used for a Treaty title . A formal written statement between two (bilateral) or more (multilateral) states in reference to peace, alliance, commerce or international relations. See also Convention, Protocol , and Treaty . Also, made between the MOD and Statutory Regulator. Used in the 'Type of Rule' field in the MLD . <i>[Oxford Dictionary of Law]</i>	Maritime Legislation Database

Term	Definition	DMR DSRP
As Low As Reasonably Practicable (ALARP)	The point at which it can be demonstrated that the cost of any further risk reduction (cost includes the loss of defence capability as well as financial or other resource costs) becomes grossly disproportionate to the benefit obtained from that risk reduction. <i>[DSA 01.4 - DSA Master Glossary]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Alongside	The activity of berthing next to another ship or a jetty, quay, dock or harbour infrastructure using the ships' systems . Once secure, systems fluids, stores and power may be transferred during this activity . <i>[DMR]</i>	Shipping Regs and Ports and Harbours Regs
Alteration	A submission to a statutory regulator for a DED essential to maintaining Operational Capability or a Defence Task . <i>[DMR]</i>	Shipping Regs
Amended	Changes have been made to any Rule , for the purposes of adding to, correcting, or modifying it. Used in the 'Rule Status' field in the MLD . <i>[Adapted from Oxford Dictionary of Law]</i>	Maritime Legislation Database
Analysis	The process where available data is reviewed, evaluated and then converted into a series of arguments , which produce a series of relevant findings, linking the collected data and the findings of an investigation . <i>[DSRR]</i>	Shipping Regs
Anniversary Date	The day and month of each year that corresponds to the date of expiry of the relevant document or certificate. <i>[ISM Code]</i>	Shipping Regs
Appropriate Performance Level	A level of safety performance at specified extreme threat conditions, as specified in the CONOPS, which is at or above additional levels. <i>[Adapted from ANEP77]</i>	Shipping Regs
Area of Responsibility (AoR)	An individual's or organisation's established and defined boundaries and remit that is expected to deliver an output/ activity . <i>[DMR]</i>	Shipping Regs, Diving Regs
Argument	A body of information presented with the intention to establish one or more claims through the presentation of related supporting claims, evidence and contextual information. <i>[GSN Community Standard V1]</i>	Shipping Regs, Diving Regs
Arrangements	Strategies and management requirements put in place to enable an Accountable Person to discharge their responsibilities. <i>[DMR]</i>	Shipping Regs, Diving Regs
Assumptions	An assertion about the system, its operating environment or modes of use that is employed without objective evidence . <i>[DMR]</i>	Shipping Regs, Diving Regs
Assurance	Adequate confidence and evidence, through due process, that safety and environmental requirements have been met. <i>[DSA01.1 and Oxford Legal Dictionary]</i>	Shipping Regs, Diving Regs
Assurance Framework	A structured means of identifying, linking and co-ordinating the main sources of assurance in an organisation to best effect. <i>[DMR]</i>	Shipping Regs
Assured	A measure of confidence that a system complies with a policy or rule. <i>[DSA01.1 and Oxford Legal Dictionary]</i>	Shipping Regs, Diving Regs

Term	Definition	DMR DSRP
Audit	<p>Systematic and documented process for obtaining and evaluating evidence objectively to determine the extent to which audit criteria are fulfilled.</p> <p>First party: Supplier organisations who self-audit themselves, to confirm or improve the effectiveness of management systems, and to declare that an organization complies with an ISO standard (a self-declaration),</p> <p>Second party: External audits, by customers or by others on their behalf with a formal interest in an organization’s compliance,</p> <p>Third Party: External audits performed by independent organizations such as registrars (certification bodies) or regulators,</p> <p>Joint: Two or more audits co-operating to assure a single auditee organization,</p> <p>Combined: Two or more audits considering different aspects of the management system or organization, audited together at the same time.</p> <p style="text-align: right;"><i>[Adapted from ISO19011:2011]</i></p>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Auditable	<p>Sufficiently robust and evidenced system that can be the subject of an Audit.</p> <p style="text-align: right;"><i>[DMR]</i></p>	Shipping Regs, Diving Regs
Audit conclusion	<p>Outcome of an audit after consideration of the audit objectives and all audit findings.</p> <p style="text-align: right;"><i>[ISO19011:2011]</i></p>	Shipping Regs, Diving Regs
Audit Criteria	<p>Set of policies, procedures or requirements against which audit evidence is compared.</p> <p style="text-align: right;"><i>[ISO19011:2011]</i></p>	Shipping Regs
Audit findings	<p>Results of the evaluation of the collected audit evidence against audit criteria.</p> <p style="text-align: right;"><i>[ISO19011:2011]</i></p>	Shipping Regs
Audit plan	<p>Description of activities and arrangements for an audit.</p> <p style="text-align: right;"><i>[ISO19011:2011]</i></p>	Shipping Regs
Audit programme	<p>Arrangements for a set of one or more audits planned for a specific time frame and directed towards a specific purpose.</p> <p style="text-align: right;"><i>[ISO19011:2011]</i></p>	Shipping Regs, Diving Regs
Audit scope	<p>Extent and boundaries of the audit.</p> <p><i>Note: Generally, includes a description of physical locations, organisational units, activities and processes in a time period.</i></p> <p style="text-align: right;"><i>[ISO19011:2011]</i></p>	Shipping Regs
Audit Team	<p>One or more auditors with an appointed team leader (Lead auditor).</p> <p style="text-align: right;"><i>[ISO19011:2011]</i></p>	Shipping Regs
Auditee	<p>Organisation being audited.</p> <p style="text-align: right;"><i>[ISO19011:2011]</i></p>	Shipping Regs
Auditor	<p>A competent person who is fully qualified to conduct audits unsupervised, but who has not gained sufficient experience to lead audits. See also Auditor Under Training and Lead Auditor.</p> <p style="text-align: right;"><i>[ISO19011:2011]</i></p>	Shipping Regs, Diving Regs
Authorise or Authorisation of a document	<p>Approval by a named person, formally recorded, of a document being ready for use. Following their approval, that person becomes accountable for the contents of the document, once issued.</p> <p style="text-align: right;"><i>[DMR]</i></p>	Shipping Regs, Ports & Harbours Regs, Diving Regs

Term	Definition	DMR DSRP
Authority	An Accountable Person , themselves competent individually or leading an organisation charged with Duty Holder facing responsibility to systematically ensure the fitness for purpose of a specified group of activities, systems or management arrangements through life and to make decisions, or approve changes. They may authorise, endorse , and recommend good practice and is accountable for their advice. <i>[DMR]</i>	Shipping Regs, Ports & Harbours Regs, Diving Regs
Auxiliary Ship	A Ministry of Defence (MOD) Ship or a Government Ship from another nation that supports a military activity . <i>[DMR]</i>	Shipping Regs
Availability	The ability of an item to be in a state to perform a required function under given conditions at a given instant of time or over a given time interval assuming that the required external resources are provided. <i>[Adapted from IEC 60050-192]</i>	Shipping Regs
Bill	A proposal for a new law (UK Statute) or a change an existing law presented for debate before Parliament. Bills are introduced in either the House of Commons or House of Lords for examination, discussion and amendment. Once both Houses have agreed on the content of a Bill it is presented to the reigning monarch for approval (Royal Assent). Once Royal Assent is given a Bills and Acts of the Parliament that raised it (UK or devolved parliaments) are in force. Used in the 'Type of Rule' field in the MLD . <i>[www.parliament.uk/about/how/laws/bills/]</i>	Maritime Legislation Database
British Ship	A Merchant Ship owned by suitable persons defined under the Merchant Shipping Act 1995 (as amended). <i>[Merchant Shipping Act 1995 (as amended)]</i>	Shipping Regs
Broadly Acceptable	A level of risk that is adequately controlled so that it may be tolerated. Accountable Persons must reduce risks wherever it is reasonably practicable to do so or the law requires it. <i>[Adapted from HSE Reducing Risks, Protecting People (R2P2)] (para 123)</i>	Shipping Regs
CADMID/T (cycle)	The acquisition lifecycle for capability, the term CADMID/T comes from the initial letters of its six phases, Concept, Assessment, Demonstration, Manufacture, In-Service, Disposal/Termination; the standard phases of the MOD acquisition cycle for materiel. <i>[DEF STAN 00-056 Part 1, Issue 7]</i>	Shipping Regs
Cause	The origin, sequence or combination of circumstances leading to an event. <i>[DMR]</i>	Shipping Regs
Certificate	A written authorisation by a Duly Authorised Person , recognising a standard is achieved or giving permission for activity to commence. Descriptors are sometimes added to differentiate the type of Certificate (e.g. interim, hazard, activity or authority) <i>[DMR]</i>	Shipping Regs, Ports & Harbours Regs, Diving Regs
Certification	Confirmation following an Accredited body's audit , that a specific organisation's process or activities have demonstrated an appropriate safety level, achievement of a design, material state and operator instruction or demonstrate compliance with processes, standards and/or safety criteria agreed in a Certification Strategy . <i>[DMR]</i>	Shipping Regs and Diving Regs

Term	Definition	DMR DSRP
Certification Strategy	The formal agreement between a Duly Authorised Person and the Platform Authority , their Duty Holder and the DMR setting out the requirements for Certification , tailored to a specific ship , a ship class or ship type and against which compliance with the rules is determined. <i>[DMR]</i>	Shipping Regs and Diving Regs
Certification Officer	A competent , professional officer directly authorised by a Duly Authorised Person to audit and assure a Request for Certification and its supporting evidence , and sign and issue Certificates in accordance with their accreditation for a particular hazard area. <i>[DMR]</i>	Shipping Regs
Civil activities	Any reasonably foreseeable activity to a civil standard or under statutory regulation for equivalent performance levels. <i>[DMR]</i>	Shipping Regs
Classification Society	An independent organisation offering third party independent assessment of the compliance of a design, construction or the through life maintenance of a ship's structure and its essential machinery to defined rules. The organisation can also be accredited to be a Recognised Organisation of a Statutory Regulator or DMR . <i>[DMR]</i>	Shipping Regs
Combat Safety	The identification and management of hazards arising from hostile acts, where they may harm personnel. <i>[DMR]</i>	Shipping Regs
Combat Safety Summary (CSS)	Annex to the CSES that summarises the consequences of hostile activity to individuals by providing guidance on weapon effects and how that may affect understanding of the design intent, given the material state and standard operating procedures. <i>[DMR]</i>	Shipping Regs
Command	Front Line Command. The highest-level organisation with Duty Holding responsibilities for delivering a capability, e.g. Navy Command, Joint Force Command, etc. <i>[DMR]</i>	Ports and Harbours Regs
Command Safety and Environmental Summary (CSES)	A summary of the Safety Case Report produced to baseline Sea Clearance and inform a Ship's Commanding Officer of the safe and environmentally sound operating envelope of a ship by identifying the key limitations imposed by design parameters, Naval Authority Certification , risk assessment and combat safety . The CSES is a prerequisite of an SMC being awarded. <i>[DMR]</i> A distillation of the safety case report providing essential information for the in-service/operational commanding officer or manager of a system or operator of a service to manage operating risk . <i>[DEF STAN 00-056 Part 1, issue 7]</i>	Shipping Regs
Competent /Competence/ Competencies	Defined level of personal attributes demonstrated by the ability to apply applicable knowledge and skills to achieve intended results, through effective judgement and attitude. This should be reflected in professional qualification for specified Suitably Qualified and Experienced Personnel (SQEP) in a given domain. <i>[DMR]</i>	Shipping Regs, Ports & Harbours Regs, Diving Regs
Competent Authorities	An Authority with an organisational baseline of competent persons that aligns with their Area of Responsibility . <i>[DMR]</i>	Ports and Harbours Regs

Term	Definition	DMR DSRP
Compliance Statement	A written claim summarising the evidence that MOD-regulated Shipping, Ports and Harbours , or Diving , meets the requirements of a Rule or Rules. Claims made where there is a Defence DED should be baselined against comparable legal goals and provide evidence that outcomes will be at least as good as those required by the Rule. <i>[DMR]</i>	Shipping Regs, Ports & Harbours Regs, Diving Regs
Consequence	The outcome, or outcomes, resulting from an event .	Shipping
Consolidated	A consolidated Rule brings together amendments into a single piece of text, with the purpose of stating their combined effect and simplifying the legislation. Used in the 'Rule Status' field in the MLD . <i>[DMR]</i>	Maritime Legislation Database
Convention	Term adopted for Agreement to which a large number of countries are parties. Also, see Agreement . Used in the 'Type of Rule' field in the MLD . <i>[DMR]</i>	Maritime Legislation Database
Corrective Action Report (CAR)	A formal record of actions which has been identified to reduce the risk from an application, or correct a deficiency or non-conformity. <i>[DSRP]</i>	Shipping Regs, Ports & Harbours Regs
Crew	A body of personnel (who's usual employment is under a merchant ship crew agreement or military Unit Employment List) to conduct shipping activities as directed by a Ship's Master or a Commanding Officer. <i>[DMR]</i>	Shipping Regs
Defence Activities	See Defence Task and Military Activities . Delivery of a Defence Task identified in the Defence Plan requiring a combination of civil and military activities to generate a "system of systems" Capability, whose function has some military benefit or benefit to the Crown. <i>[DMR]</i>	Shipping Regs
Defence Code of Practice	Good practice expected to be followed by defence personnel/organisations to demonstrate compliance with Defence Regulations or policy. <i>[DSA Master Glossary]</i>	ALL
Defence Divers	Any person who takes part in a Defence Diving operation, including personnel managing any required decompression procedures. <i>[DMR]</i>	Diving Regs
Defence Diving	Any activity , made up of one or more diving operations, in which at least one person takes part or will take part as a diver. The activity spans from the time when the first such person, commences to prepare to dive until the last such person, has left the water, chamber or other environment in which the dive. It includes any requisite decompression procedures or any therapeutic recompression, that may be reasonably anticipated. <i>[DMR]</i>	Diving Regs
Defence Lessons	An operational, tactical or training lesson from a HQ Capability Assessment or Management Assessment (CDTs, Programme Board or noted risks) recorded in a corporate database of noteworthy current and recent operational knowledge. The DLIMS databases. <i>[DMR]</i>	Shipping Regs

Term	Definition	DMR DSRP
Defence Lines Of Development (DLODs)	<p>A framework used to deliver capability to ensure that all key factors relevant to the capability have been considered and that issues for resolution have been identified. The eight Defence Lines of Development (DLODs) are Training, Equipment, Personnel, Information, Concepts & Doctrine, Organisation, Infrastructure and Logistics (TEPIDOIL). Interoperability is included as an overarching factor that must be considered when any DLOD is being addressed.</p> <p style="text-align: right;"><i>[ASG]</i></p> <p>NB: In the context of the above reference, ‘Equipment’ includes delivery of equipment, systems, ships and other types of physical platform. In the context of the Shipping Regulations, systems and ships address all DLODs</p> <p style="text-align: right;"><i>[MOD].</i></p>	Shipping Regs
Defence Maritime Regulator (DMR)	<p>The maritime component of the Defence Safety Authority, headed by the Maritime Regulator, to provide maritime safety and environmental Regulation, advice and guidance on compliance. It independently checks Duty Holders’ assurance, by third party audit of the management arrangements for safety and environmental protection, and where necessary inspection and Regulates through Censure or scrutinising requests for concessions where DED exist.</p> <p style="text-align: right;"><i>[DMR]</i></p>	Shipping Regs
Defence Regulation	<p>A type of mandatory rule made by a Regulator for a particular MOD domain or functional area, which delivers the intent of the Policy Statement by the Secretary of State’s for Defence on Health, Safety and Environmental Protection in Defence.</p> <p style="text-align: right;"><i>[MOD]</i></p>	All
Defence Task	<p>One of the eight uses of military capability prioritised by MOD Head Office and the Service commands. DT1: Defence Security & Resilience of the Homeland and overseas territories; DT2: Nuclear deterrence; DT3: Understanding; DT4: Influence through Defence Engagement; DT5: Military intervention; DT6: UK prosperity and civil society; DT7: Direct Defence; DT8: Strategic base and enabling</p> <p style="text-align: right;"><i>[SDSR2015 & How Defence Works]</i></p>	Shipping Regs, Diving Regs
Delivery Duty Holder (DDH)	<p>The person charged by an ODH with the integration of risk assurance across DLODs, to enable the safe administration and operation of MOD Regulated Shipping, Ports, Harbours or Diving. The DDH confirms that, in accordance with their tasking, that the RCS have been applied appropriately and that the MOD Ship, Port, Harbour or Diving activity can be operated safely and in an environmentally compliant manner.</p> <p style="text-align: right;"><i>[DMR]</i></p>	Shipping Regs, Diving Regs
Derogation	<p>A relaxation of a statutory requirement to allow the law to be applied differently with caveats that are specified within the legislation itself, or not at all.</p> <p style="text-align: right;"><i>[DSA Master Glossary]</i></p>	ALL
DED	<p>A Derogation, Exemption, or Dis-application.</p> <p style="text-align: right;"><i>[DSA01.1]</i></p>	ALL
Design Authority	<p>Authority vested in an accountable person or their organisation to systematically ensure the fitness for purpose of a design’s intent through life and to make design decisions, authorise by application of professional judgement the approval changes to the design intent, or material state. Such authority may be split into approving, integration and technical authorities.</p> <p style="text-align: right;"><i>[DMR]</i></p>	Shipping Regs, Diving Regs

Term	Definition	DMR DSRP
Design Description	A mandatory component of any safety case containing all the design information, concepts of usage for a reasonably foreseeable material state. The Design Authority will hold elements of this, in conjunction with the holder of the safety management plan and safety management system . <i>[DMR]</i>	Shipping Regs
Designated Person	A person nominated by the Port Duty Holder to provide independent assurance regarding the operation of the MOD Port's Safety and Environmental Management System . <i>[DMR]</i>	Ports and Harbours Regs
Designated Person Ashore	A competent engineer or operator within the organisation who has direct access to the highest levels of management, providing a link between a Duty Holder and those on-board. <i>[Adapted from the ISM Code]</i>	Shipping Regs
Dis-application	Where specific legislation or a part thereof does not apply to the Military or Ministry of Defence and is expressly stated as such within the piece of legislation. <i>[DSA01.1]</i>	ALL
Disposal	Formal method at the end of the CAMID/T Acquisition Lifecycle. <i>[DMR]</i>	Shipping Regs
DMR	The Defence Maritime Regulator <i>[DSA01.1 and SofS Charter]</i>	ALL
DMR Enforcement Notice / Policy	The mechanism through which DMR takes enforcement action. Enforcement Notices issued by the DMR following enforcement action by: DMR Advice Notice; DMR Formal Advice; DMR Improve Notice or DMR Prohibit Notice. <i>[DMR]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs
DMR Notices	A means by which instructions and guidance can be promulgated to Duty Holders, accountable persons , industry, operators and other relevant parties, under Regulation 17. DMR Notices are available from the Naval Authority System . <i>[DMR]</i>	Shipping Regs
Dockyard	A geographical area of a naval harbour with a gate or caisson under control of an accountable person vested powers or duties for improving, maintaining or managing a harbour, as a statutory harbour authority or under the 1865 Dockyard Ports Regulation Act or Bill of a UK dependency. <i>[DMR]</i>	Ports and Harbours Regs
Document of Compliance (DOC)	A certificate awarded by a Statutory Regulator or DMR under DSA02-DMR-MOD Shipping Regulations, Regulation 5.1, DSA03-DMR-DCOP04 - Organisational Certification of Defence Shipping Regulations and DSA02-DMR-Defence Diving Regulations, Regulation 17 - DMR Document of Compliance. <i>[DMR]</i>	Shipping Regs and Diving Regs
DSRP	Defence Safety Regulatory Publications suite, issued for the regulation of the applicable Defence domain by a Defence Regulator and under authority of the Director General DSA. <i>[DMR]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Draft	The status of an initial unsigned Agreement, Treaty or piece of Statutory legislation, which is not yet in force. Used in the 'Rule Status' field in the MLD . <i>[Oxford Dictionary of Law]</i>	Maritime Legislation Database

Term	Definition	DMR DSRP
Duly Authorised Person (DAP) or Organisation (DAO)	A person or organisation , internal to the Ministry of Defence that demonstrates competence, accredited to provide second party assurance of the conduct of an activity to a Duty Holder and considered by the Regulator to significantly affect the safety or environmental protection of MOD Shipping activities , as responsibilities go beyond their normal managerial duties or across line responsibilities (e.g. <i>being charged with audit, overseeing, accepting test and trials, conducting surveys and inspections to certify</i>). <i>[DMR]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Duty of Care	The legal obligations which ensure the safety or well-being of employees and others who may be affected by the acts or omissions of a person or organisation. <i>[DSA Master Glossary]</i>	Shipping Regs and Diving Regs
Duty Holder (DH)	In accordance with DSA01.1, a key person appointed by the Secretary of State to discharge a duty of care for complex Maritime Capability such that others do not suffer unreasonable harm or loss from Defence activity . They are an accountable person with sufficient control to supervise operations significantly affecting the safety or environmental protection of MOD Shipping activities with responsibility and accountability beyond normal managerial duties across line management responsibilities, e.g. DLODs . <i>[Expanded from DSA01.1]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Duty Holding	The recognition that a person or organisation has specific accountability and a Duty of Care and the exercising of Duty Holder responsibilities. <i>[DMR]</i>	Shipping Regs and Ports & Harbours Regs
Endorse	To assert that a document meets the requirements of relevant policy, procedures and good practice. <i>[DMR]</i>	Shipping Regs
Enforcement Action	The action of a Defence Regulator according to DSA enforcement management model and as amplified within a DMR Regulatory Framework. <i>[DSA Master Glossary]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Enters into Force	The date that the Rule takes effect from. If the date of effect differs for different sections of the Rule , this date represents the early date of section of the Rule takes effect. <i>[DMR]</i>	Maritime Legislation Database
Environment	Surroundings in which an organisation operates, including air, water, land, natural resources, flora, fauna, humans and their interrelationships. <i>[from ISO 14001:2015]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Environmental Aspect	Element of an organisation's activities or products or services that interacts or can interact with the environment. Organisation's activities include MOD Shipping, Ports & Harbours and Diving activities. <i>[Adapted from ISO 14001:2015]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Environmental Impact	Any change to the environment , whether adverse or beneficial, wholly or partially resulting from an organisation's environmental aspects . <i>[ISO 14001:2015].</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs

Term	Definition	DMR DSRP
Environmental Risk	An uncertain future event, either arising from an environmental aspect of Defence activity or a change to the environment that could affect the Departments ability to achieve its objectives. <i>[Def Stan 00 51]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Environmental Topic	A subject of the environment likely to be affected by an environmental aspect . <i>[DMR]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Environmental Protection	Environmental Protection: a practice of protecting the natural environment on individual, organisational or governmental levels, for the benefit of the environment, operational performance and capability. <i>[Draft Def Stan 00 51.</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Environmentally Sound	Demonstration that, all environmental aspects are being managed as part of an environmental management system so that harm to the environment is minimised so far as reasonably practicable. <i>[DMR]</i>	Shipping Regs
Equipment	An item that is designed to provide one or more services or functions to the user or the system of which it forms a part. (e.g. pumps, motors, valves, air conditioning plant, radar, gun) <i>[MOD]</i>	Shipping Regs
Equipment Authority	The person charged with the development and maintenance of the Management Regime (which may include the design authority) for equipment or a subsystem of a ship . <i>[DMR]</i>	Shipping Regs
Equivalent Performance Level	A level of safety performance shown to meet good practice under normal operational conditions. <i>[Adapted from ANEP77 Edition F Version 1, August 2015]</i>	Shipping Regs
EU Decision	A binding ruling placed on those to whom it is addressed (e.g. an EU country or an individual company) and is directly applicable. Used in the 'Type of Rule' field in the MLD . <i>[https://europa.eu/european-union/eu-law/legal-acts_en]</i>	Maritime Legislation Database
EU Directive	A legislative Act that sets out goals that all EU countries must achieve. However, it is up to the individual countries to devise their own laws on how to reach these goals. Used in the 'Type of Rule' field in the MLD . <i>[https://europa.eu/european-union/eu-law/legal-acts_en]</i>	Maritime Legislation Database
EU Regulation	A binding legislative Act . It must be applied directly in its entirety across the EU. Used in the 'Type of Rule' field in the MLD . <i>[https://europa.eu/european-union/eu-law/legal-acts_en]</i>	Maritime Legislation Database
Event	A release of energy that takes place In the minimum combination of failures or errors necessary to start propagation of an accident sequence. It can be a single cause , multiple causes , or contributory factors. <i>[DMR]</i>	Shipping Regs
Evidence	Information or objective artefacts being offered in support of one or more claims. <i>[GSN Community Standard V1]</i>	Shipping Regs

Term	Definition	DMR DSRP
Exceptional Circumstances	Military or civil activity to deliver a Defence Task often taken under extreme threat conditions when foreseeable damage may be experienced and tolerated according to the Goal . <i>[DMR]</i>	Shipping Regs
Exemption	Where statute allows SofS to authorise all, or part of an item of legislation does not apply, conditional on SofS granting a certificate, in writing. With a CDS Operational Instruction. <i>[Adapted from DSA01.1]</i>	Shipping Regs
Extant	In the MLD , this means that the Rule is currently in force. Where no changes (amendment or revocation) have been made by other Rules recorded in the MLD . <i>[DMR]</i>	Maritime Legislation Database
Extreme Threat Conditions	Abnormal operating conditions resulting from the deliberate exposure of a naval ship to extreme natural events or extreme hostile acts deliberately created by other persons (see combat safety). <i>[ANEP77 Edition F Version 1, August 2015]</i>	Shipping Regs
Fault	Reduced performance or malfunction against a system specification of one or more components, requiring corrective action but without whole system failure. <i>[DMR]</i>	Shipping Regs
Foreseeable Conditions	Operating Environment in which the ship can be reasonably foreseen to operate in. <i>[Adapted from ANEP77 Edition F Version 1, August 2015]</i>	Shipping Regs
Foreseeable Damage	Damage that could be caused by Foreseeable Conditions , by one's own cargo or weapon systems, navigational hazards (collision, grounding), naval exercises (military activity, navigational exercise, replenishment at sea, landings, boat operations, etc.), internal system failures, mal-operation, and some types of hostile activity. <i>[Adapted from ANEP77 Edition F Version 1, August 2015]</i>	Shipping Regs
Goal	As defined in Regulation 1, paragraph 1.1 of the MOD Shipping Regulations and Regulation 1, page 5 of the <i>[DSA02-DMR-Shipping Regulations]</i>	Shipping Regs and Diving Regs
Good Practice	The generic term for those baseline standards for controlling risk which have been judged and recognised by a Statutory Authority or another authoritative body as satisfying the law, regulatory Goal and continuous improvement when applied to a particular relevant case in an appropriate manner. <i>[Adapted from HSE ALARP guidance]</i>	Shipping Regs
Government Policy	In the MLD this refers to UK Government interests, values and resources administered by legislation, Regulations and administrative practices. It includes Ministry of Defence (MOD) Policy. <i>[DMR]</i>	Maritime Legislation Database
Government Policy (Non-UK)	Refers to Government Policy which has not been made by the UK Government. Used in 'Type of Rule' field in the MLD . <i>[DMR]</i>	Maritime Legislation Database
Government Ship	Ships not forming part of Her Majesty's Navy which belong to Her Majesty, or are held by any person on behalf of or for the benefit of the Crown (and for that reason cannot be registered under Part II of the Merchant Shipping Act). <i>[Merchant Shipping Act 1995, Section 308]</i>	Shipping Regs

Term	Definition	DMR DSRP
Guidance	<p>Background information typically developed to accompany a Defence Code of Practice (DCOP), in order to provide additional explanation, to assist the application of the regulation, and to help illustrate the meaning of specifications and requirements. If collectively followed, this will normally be sufficient to satisfy the Regulator. In seeking to assure compliance with the Regulations the Regulator may offer guidance to illustrate good practice.</p> <p>The word “will” in the text denotes a provision or service, or an intention in connection with a requirement. Sentences containing the word “may” or “could” are guidelines; no justification is required if they are not followed.</p> <p>An amplification of a rule which is provided to aid the readers understanding of a rule or of an issue associated with a rule or how to comply with a rule.</p> <p>In the MLD, this refers to advice which is provided to aid the readers understanding of an issue, the technology available or how to comply with the relevant Rules, provision or Policy. It is not legally binding and does not require mandatory compliance.</p> <p style="text-align: right;"><i>[DMR]</i></p>	Shipping Regs, Ports & Harbours Regs and Maritime Legislation Database
Harm	<p>Adverse impact on people, including fatality, physical or psychological injury, or short or long term damage to health or damage to materiel or the environment.</p> <p style="text-align: right;"><i>[Adapted from DEF STAN 00-056 Part 1, Issue 7]</i></p>	Shipping Regs and Diving Regs
Hazard	<p>Potential to cause harm e.g. A physical situation or state of a system, often following from some initiating event that may lead to an accident.</p> <p style="text-align: right;"><i>[DEF STAN 00-056 Part 1, Issue 7]</i></p>	Shipping Regs and Diving Regs
Hazard Analysis	<p>The process of analysing in detail the hazards and accidents associated with a system, and defining accident sequences.</p> <p style="text-align: right;"><i>[Adapted from DEF STAN 00-056 Part 1, Issue 7]</i></p>	Shipping Regs
Hazard Footprint	<p>A statement summarising hazards identified within a safety case, the full mitigation of which is outside the control of an Authority or Duty Holder and likely to affect third parties. This communication of the effects of hazards and accident sequences and their implications for third parties, covering both consequences (under the precautionary principle) and the estimated risks (under the proportionality principle).</p> <p style="text-align: right;"><i>[DMR]</i></p>	Shipping Regs
Hazard Identification	<p>The process of identifying and listing the hazards and accidents associated with a system.</p> <p style="text-align: right;"><i>[DEF STAN 00-056 Part 1, Issue 7]</i></p>	Shipping Regs
Holding Account to	<p>The formal process of justification and explanation for actions or decisions to Superior Duty Holders or Defence Regulators</p> <p style="text-align: right;"><i>[DMR]</i></p>	Shipping Regs
Hostile Activity	<p>A deliberate action designed to inflict damage or disable a vessel, its personnel or systems.</p> <p style="text-align: right;"><i>[DMR]</i></p>	Shipping Regs
Human Factors	<p>The systematic application of relevant information about human capabilities, limitations, characteristics, behaviours and motivation to the design of systems.</p> <p style="text-align: right;"><i>[DEF STAN 00-056 Part 1, Issue 7]</i></p>	Shipping Regs
Incident	<p>The occurrence of a hazard that might have progressed to an accident but did not. See also Accident; Near Miss.</p> <p style="text-align: right;"><i>[DEF STAN 00-056 Part 1, Issue 7]</i></p>	Shipping Regs, Ports & Harbours Regs and Diving Regs

Term	Definition	DMR DSRP
Independence (Principle)	<p>The characteristic of a regulatory regime, in particular in the setting of safety and policy, regulation, auditing and enforcement. <i>[Haddon-Cave Report into the loss of XV230, Chapter 20]</i></p> <p>The state or condition of being free from subjection, or control. Political independence is the attribute of a nation or state which defines it to be entirely autonomous, and not subject to the government, control, or dictation of any exterior power. <i>[Black's Law Dictionary]</i></p>	Shipping Regs
Independent Environmental Auditor	<p>An individual or team, from an independent organisation, that undertakes audits and other assessment activities to provide assurance that environmental protection activities comply with planned management arrangements are implemented effectively and are suitable to achieve objectives; and whether related outputs are correct, valid and fit for purpose. <i>[DMR]</i></p>	Shipping Regs
Independent Safety Auditor	<p>An individual or team, from an independent organisation, that undertakes audits and other assessment activities on behalf of MOD to provide assurance that safety activities comply with planned arrangements, are implemented effectively and are suitable to achieve objectives; and whether related outputs are correct, valid and fit for purpose. <i>[DEF STAN 00-056 Part 1, Issue 7]</i></p>	Shipping Regs and Diving Regs
Independent Safety Assessor	<p>An individual or team who forms a judgement, separate and independent from any system design, development or operational personnel, that the safety requirements for the system are appropriate and adequate for the planned application and that the system satisfies those safety requirements. A person who carries out independent safety assessment, audit and inspection. <i>[ISA Working Group]</i></p>	Shipping Regs
Information	<p>The data/facts that are in the MLD provided for the user. It has no legal requirements and is not a Rule that requires compliance. Used in 'Type of Rule' field in the MLD. <i>[DMR-MLD]</i></p>	Maritime Legislation Database
Inherent Risk	<p>Exposure arising from a specific risk before any action has been taken to manage it. <i>[JSP 892]</i></p>	Shipping Regs
Inspection	<p>Assurance by systematic and documented verification process that objectively obtains and evaluates the objectivity of evidence to determine whether specified activities, events, conditions, analysis or information output from management systems conforms to inspection criteria. <i>[ISO 19001]</i></p>	Shipping Regs
Intelligent Customer	<p>The ability and capability of the MOD, as the contracting authority, to retain adequate resources and technical competence, to supervise, monitor, and judge whether the deliverables and activities of contractors meet the requirements of the contract and other legal duties. <i>[DNSR]</i></p>	Shipping Regs
Investigation	<p>Activity carried out by an independent, impartial, professional and credible safety investigation organisation or team to prevent reoccurrence following an accident or incident. It includes the collection and analysis of evidence, the identification of causal factors and the making of observations and safety recommendations as necessary. See Assurance. <i>[DMR]</i></p>	Shipping Regs

Term	Definition	DMR DSRP
Key Hazard Area	An accident sequence of societal concern, representing a significant danger to the lives of several people, loss or severe damage to a ship platform or significant damage to the environment. Due to the severity of such consequences particular assurance is required of specific key hazard areas by Duly Authorised Persons through certification . [DMR]	Shipping Regs
Leadership Principle	As defined in DSA02-DMR- Shipping Regulations , Part A, DSA02-DMR MOD Ports and Harbours Regulations for Safety and Environmental Protection, Part A and DSA02-DMR Defence Diving Regulations, Part A. [DMR]	Shipping Regs, Ports & Harbours Regs and Diving Regs
Lead auditor	A fully qualified auditor with sufficient experience to competently lead audits . [ISO19011:2011]	Shipping Regs
Likelihood	The probability or frequency of an occurrence within a specified time period or number of operations. [Likelihood (Safety) definition - DSA Master Glossary]	Shipping Regs
Limitation	A defined performance limit of the ship by nature of its design [DMR]	Shipping Regs
Major Accident	<ul style="list-style-type: none"> a. The outcome of a key hazard on a platform, whether manned or unmanned; b. Any event resulting in major damage to MOD Shipping, or systems affixed to it, or any loss of a function associated with preventing escalation to a key hazard c. Any event resulting in a risk to life or the loss of life or serious personal injury of personnel engaged in activity or connected to activity on a platform requiring an incident report or intervention; d. any failure of a life support system connected with a platform; e. the uncontrolled detachment of a system creating a risk to life to personnel; f. any other event arising with potential to cause a major environmental incident due to any Defence activity or in connection with it; requiring an incident report and/or intervention following from any event referred above. [OSCR 2015, with wording aligned to DSA02]	Shipping Regs
Management System	A system to establish policy and objectives or to achieve those objectives. [ISO19011:2011]	Shipping Regs
Mandatory Requirement	See Ch1, p93/83, JSP 430 [DMR]	Shipping Regs
Memoranda Item	An observation or finding raised to record information pertinent to the hazard covered by a Certificate. [DMR]	Shipping Regs
Maritime activities	Activities in the maritime operating environment or domain (whether or not by MOD personnel, including diving, port or MOD Shipping activities), combining civil activities and military activities , and which are subject to Defence Regulation . [DMR]	Shipping Regs
Material State	The extent to which the materiel of a ship , system or equipment meets suitable standards and is free from flaws which could give rise to or contribute to hazards or failure modes that contribute to a hazard . See Safe State . [DSA]	Shipping Regs, Diving Regs
Merchant (coastal) Ship	A Merchant Ship type (class IX and XII vessels), used in local, or Coastal commercial trade, including pilot boats, tugs and tenders and large (registered) pleasure vessels of 13.7m in length and over. [Merchant Shipping Act 1995]	Shipping Regs

Term	Definition	DMR DSRP
Merchant (General Cargo) Ship	A Merchant Ship type , that is Registered to conduct international voyages (class VII vessels), subject to stringent criteria (below passenger ships), according to cargo type (see IMDG) and major accident sequence according to intrinsic hazard . <i>[Merchant Shipping Act 1995]</i>	Shipping Regs
Merchant (Passenger) Ship	A Merchant Ship type (class I, II, VI vessels) that is Registered to carry more than 12 passengers regardless of size or other use, subject to the most stringent Statutory Regulatory requirements and criteria. <i>[Merchant Shipping Act 1995]</i>	Shipping Regs
Merchant (Recreational) Ship	A Ship type that is Registered for commercial purposes defined by “The Merchant Shipping (Vessels in Commercial Use for Sport or Pleasure) Regulations 98/2771”, see link: http://www.rya.org.uk/infoadvice/regssafety/pleasurecraftregs/Pages/PleasureCraftRegulations.aspx <i>[Merchant Shipping Act 1995]</i>	Shipping Regs
Merchant (Specialist Cargo) Ship	A Merchant Ship type that is Registered to conduct short international voyages (class VIII), and which is subject to more stringent criteria than class VII (below class I, II, VI vessels) according to cargo type and major accident sequence according to intrinsic hazard . <i>[Merchant Shipping Act 1995]</i>	Shipping Regs
Military activities	Reasonably foreseeable action (whether or not specified as beyond Statutory Regulation , explicit ‘ DED ’ or conducted by MOD personnel), that usually but not exclusively, have no direct civil comparator. They require evidence of risk control to the Goal (either from first principles, or to military standards). They may include a complex mix of civil activities conducted at a greater intensity requiring additional performance levels , or use systems that more closely interact between functions than the civil baseline, when forming part of a Defence activity , for the benefit of the Crown. See Defence Activity; Defence Task . <i>[DMR]</i>	Shipping Regs, Diving Regs
Ministry of Defence (MOD) Ship	A legal term within the Merchant Shipping Act, meaning a Government ship on non-commercial service for the Ministry of Defence, displaying a defaced blue ensign and markings, according to ship type . Also, called an Auxiliary of the UK military, Registered as a UK Government Ship . <i>[Merchant Shipping Act 1995, Section 192]</i>	Shipping Regs and Maritime Legislation Database
Mitigation Strategy	A measure that, when implemented, reduces risk . <i>[IEC/ISO73]</i>	Shipping Regs
MLD (Maritime Legislation Database)	An electronic database of UK legislation that applies to MOD Shipping . <i>[DMR]</i>	Shipping Regs
MOD Policy/Regulation	A type of rule made to set out direction, principles and courses of action that apply to the whole of the MOD. Compliance is mandatory. Internal MOD principles and standards that requires compliance in relation to MOD operations and by MOD personnel. Used in the ‘Type of Rule’ field in the MLD . <i>[Adapted from DSA 01.1]</i>	Maritime Legislation Database
MOD Port	A Port or Harbour described in The Dockyard and Ports Regulation Act 1865 and the UK Dockyard Ports and the associated UK or Overseas Territory Port Orders or Statute. <i>[DMR]</i>	Ports and Harbours Regs

Term	Definition	DMR DSRP
MOD Ports and Harbours	A Maritime base operated by or on behalf of the MOD as one of Her Majesty's Naval Bases (HMNB); Mounting Centres; Permanent Joint Operating Bases (PJOB). A base harbour is a place on the coast where ships may moor in shelter, with protection from rough water by artificial structures. A base port is within a harbour offering access to navigable water where ships load or unload using piers, jetties, and other artificial structures. A base dockyard is a port with maintenance facilities. A base shipyard is a port with facilities permitting new construction. <i>[DMR]</i>	Ports and Harbours Regs
MOD Port Duty Holder	Accountable Person , appointed by Letter of Authority (LOA) with responsibility for the safe and environmentally sound operation of the MOD Port , and of all conduct within the MOD Port . <i>[DMR]</i>	Ports and Harbours Regs
MOD Shipping	Ships owned by, operated by or operated on behalf of the Ministry of Defence. This includes Warships, Government Ships and any shipping services provided under Charter, a Financial or a Managed Service, employed on Defence Activity for the benefit of the Crown. <i>[DMR]</i>	Shipping Regs
MOD Shipping activity	Defence activities (on land, air or at sea) forming part of the functioning of MOD Shipping . Any military or civil activity requiring a ship system (equipment, managerial or personnel) to achieve a desired maritime activity as designed or otherwise expected to undertake as specified in the certified operating envelope. <i>[DMR]</i>	Shipping Regs
MOD Shipping Regulations	The DMR Regulatory Framework for Safety and Environmental Protection for MOD Shipping Activity and the DSA02-DMR-MOD Shipping Regulations. <i>[DSA01.1]</i>	Shipping Regs
Naval Authority	The Duly Authorised Organisation , independent of Duty Holders that is accredited to provide certification for specified hazard areas. The Naval Authority identifies and develops standards and Rules as well as providing advice and guidance on good practice relating to the Key hazard areas it covers. <i>[DMR]</i>	Shipping Regs
Naval Ship Code	The goal-based safety code developed by the International Naval Safety Association for naval ships , benchmarked against IMO safety regulations and codes, notably SOLAS and used as a key Standard by the Naval Authority. Published as: NATO Allied Naval Engineering Publication (ANEP) 77 - The Naval Ship Code. <i>[NATO: ANEP 77]</i>	Shipping Regs
Navigable Waters	Those tidal waters or inland waters used by ships. <i>[DMR]</i>	Shipping Regs
Near Miss	An event that had the potential to, but did not on this occasion, cause harm . See: Accident; Incident . <i>[Near Miss (Safety) definition - DSA Master Glossary]</i>	Shipping Regs and Diving Regs
Non-Conformance / Non-Conformities	Identified process, procedure or regulation has not been fulfilled to the satisfaction of the lead auditor and may result in a CAR or enforcement action . The categories of non-conformances are: Major non-conformance : no objective evidence of compliance with a known audit criteria ; and Minor non-conformance : limited objective evidence of compliance has been provided against audit criteria ; or the evidence is not sufficient to demonstrate full compliance with the known audit criteria . <i>[ISO19011:2011]</i>	Shipping Regs

Term	Definition	DMR DSRP
Objective Evidence	Quantitative or qualitative information, records or statements of fact pertaining to safety or to the existence and implementation of a safety management system or element (Risk Control System), which is based on observation, measurement or test and which can be verified. <i>[ISM Code]</i>	Shipping Regs
Observation	A finding identified by the inspection team that does not relate to a conformance issue but may otherwise be of benefit to the Organisation . <i>[ISO19011:2011]</i>	Shipping Regs
ODH Organisation	That part of an organisation with responsibility for shipping activities and authorised by a superior Duty Holder to be accountable and responsible as required by the Regulation . A party in receipt of a DOC indicating it is licenced by a DMR as possessing compliant SEMS and is able to discharge an Area of responsibility for one or more group of classes of MOD Shipping (a ship type). <i>[DSA01.1]</i>	Shipping Regs
Open for Accession	A Treaty that may be joined by other states, subject to the agreement of the existing parties. Used in the 'Rule Status' field in the MLD . <i>[Vienna Convention on the Law of Treaties]</i>	Maritime Legislation Database
Operate Safely	The collective assessment of each RCS by the accountable person (usually the Delivery Duty Holder) that a shipping activity is collectively safe across DLOD's, given a specific operational context and awareness. See also 'Safe to Operate'. <i>[DMR]</i>	Shipping Regs, Diving Regs, Ports and Harbours Regs
Operational Authority	The authority (or assumed) vested in an organisation that conducts any MOD Shipping activity. Individuals holding authority may be a Senior Manager of an organisation (civil or military service) with delegated or contracted authority for the direction, operational command and control of MOD Shipping conducting Defence activity to achieve Defence Tasks . <i>[Merchant Shipping Act 1995 and DMR]</i>	Shipping Regs
Operating Duty Holder (ODH)	The person charged with the overall safe administration and employment of complex Maritime Capability at the operational level such that it is operated safely and is environmentally sound . The ODH sits in the middle of the Duty Holder management arrangements identified in Top Level MOD safety policy. <i>[DMR expansion to DSA01.1]</i>	Shipping Regs, Diving Regs
Operating Envelope	A set of limits calculated by formal and structured methods to define the nature of a system, and levels of uncertainty inside which risks are ALARP for a group of "reasonably foreseeable" activities, during 'normal' running operation. Where it becomes desirable to operate outside the envelope (on either an occasional or enduring basis), it includes the calculation of safety and environmental margins. The use of certified margins to manage fluctuations in dynamic risk management during day to day operations or to tolerate risk from occasional "abnormal" activities for defined operations or maintenance, as foreseen or defined, and documented within the authorised platform Safety Case . <i>[DMR]</i>	Shipping Regs and Diving Regs
Operating Environment	The total set of all external natural and induced conditions to which a system is exposed at any given moment. <i>[Def Stan 00-056 Part 1, Issue 7]</i>	Shipping Regs

Term	Definition	DMR DSRP
Operating Organisation	A Shipping Company under the ISM Code, or the Organisation accountable to an SDH (TLB Chief Executive or MOD Front Line Commander) employing a ODH and Operating Authority of specified Areas of Responsibility (AoR) to deploy defined ship types with defined capabilities. <i>[DMR]</i>	Shipping Regs
Operational Imperative	A set of circumstances tolerated by an Operating Authority whose risk tolerability is agreed with the ODH that would take the use of the ship or system outside the scope of an operating envelope . This will be recorded in a Safety and/or Environmental Case and summarised in a CSES . Hazards arising from military risk must be explained to users, have a DED which allows risk tolerance by MOD and be deemed essential to achieve an operational objective or Defence Task . <i>[DMR]</i>	Shipping Regs
Order	A mandate, precept, command or direction giving authority to a rule or regulation . Orders under Military Law are terms used generically to refer to a direction to do (or not do) an activity , often when authority spans government Departments or Parliamentary boundaries. Orders <u>in</u> Council approved at a meeting of the Privy Council personally by The Queen. (Statutory and Prerogative): a. Statutory Orders made under any power contained in Acts of Parliament giving a power to make Orders. Acts giving a power to the Privy Council to make an Order will usually specify the quorum of the Privy Council typically three or more; b. Prerogative Orders made under the inherent power of the Crown to act on matters for which Parliament has not legislated across Acts . Used in 'Type of Rule' field in the MLD . Orders <u>of</u> council do not require personal approval by The Queen, but can be made by "The Lords of the Privy Council" (Ministers), at a specific session including by-laws of Chartered bodies. <i>[https://privycouncil.independent.gov.uk/]</i>	Maritime Legislation Database
Order (non-UK)	Refers to an Order which is not UK Statute. Used in the 'Type of Rule' field in the MLD . <i>[DMR]</i>	Maritime Legislation Database
Organisation and Arrangements (O&A)	The functions, resources and administration to achieve one or more requirement, objective or activity of a Defence Enterprise, and to enable discharge of responsibilities. A Defence Enterprise is a company, corporation, military or crown authority, budget area or institution (or part there of – constituted or not, public or private), tasked to conduct an activity on behalf of the MOD. <i>[Adapted from ISO 14001:2015]</i> Strategies and management requirements put in place to enable a Duty Holder to discharge their responsibilities. <i>[Adapted from DSA 01.1]</i>	Shipping Regs
Partially Revoked	The part revocation of a Rule that leaves elements still extant. The revoking Rule will state which parts of the affected Rule it is revoking. Used in the ' Rule Status ' field in the MLD . <i>[DMR]</i>	Maritime Legislation Database
Passenger	Any person carried on a ship, other than the ship's commanding officer/master or crew for that ship <i>[DMR]</i>	Shipping Regs

Term	Definition	DMR DSRP
Permissioning	A discrete Assurance activity, linked to a specific milestone, normally requiring positive action by the Regulator that permits (or gives permission) to start or continue an activity that is conditional upon consent, or a license or endorsement of a Safety Case Report . <i>[HSE]</i>	Shipping Regs
Permissioning Regime	Regulation of high hazard industries, about which society has particular concerns, where that industry’s activities may cause significant consequences, multiple fatalities, or widespread, adverse effect to human health, from a single event. The Regulatory Management Process put in place by the Regulator , to assure the continuance of systematic management of hazardous activities throughout a specific life cycle, ‘cradle to grave’. <i>[HSG 51, HSE]</i>	Shipping Regs
Platform	Any series of integrated DLOD , component systems with equipment designed to carry out a specified function within an operating environment, e.g. a ship , aircraft, vehicle, communications network, etc. <i>[DSA 01.4 - Master Glossary]</i>	Shipping Regs, Diving Regs
Platform Authority	The accountable person who is charged, due to their competence , with development and maintenance of the Management Arrangements for a ship platform being safe to operate. A Crown servant appointed as the project team, business unit leader, Chief or Principal Engineer. This accountable person is personally delegated by an Operating Duty Holder , for advice on risk control and for authorising a ship is materially ‘safe to operate’, in support of a Delivery Duty Holder for a ship, class or ship type . <i>[DMR]</i>	Shipping Regs, Diving Regs
Platform Authority Professional Officer	A Professional Officer (PO) demonstrated to be competent to undertake the duties required to submit a Case for Certification on behalf of the Platform Authority . <i>[DMR]</i>	Shipping Regs
Professional Officer	A crown servant who by virtue of their education, training and experience is demonstrated to be competent to undertake the duties required on behalf of an Authority and/or Duty Holder . Such persons should be Chartered Engineer, Environmentalist, Medical Doctor or Charge qualified watch-keeper (CQ1/2 or STCW) according to the Case being made. <i>[DMR]</i>	Shipping Regs
Pleasure Vessel	A ship type used solely for the sport or pleasure of the owner or their immediate family or friends, governed by consumer Regulations ensuring they are “safe to operate”. See link: http://www.rya.org.uk/infoadvice/regssafety/pleasurecraftregs/Pages/PleasureCraftRegulations.aspx <i>[Royal Yachting Association]</i>	Shipping Regs
Principles	Fundamental truths or doctrines of law; comprehensive rules or doctrines which furnish a basis or origin for others; settled rules of action, procedure, or legal determination. <i>[Black's Law Dictionary]</i>	Shipping Regs
Professional Judgement	The qualitative process exercised by a professional who is qualified by way of relevant education, experience and recognised skills to complement, supplement, accept or reject elements of quantitative analysis . <i>[ISO/TR 13387]</i>	Shipping Regs

Term	Definition	DMR DSRP
Professional Officer	A Crown servant and Chartered professional who by virtue of their education, training and experience is considered to be competent to apply professional judgement and duties in connection with Certification on behalf of the Platform Authority or other named Authority .	Shipping Regs
Property	A physical item owned by an organisation or individual: including, but not limited to, on-board equipment, built infrastructure and items from the seabed, land, natural environments etc. <i>[The Law Dictionary, Chicago Convention]</i>	Shipping Regs
Protocol	A sub- Treaty amending or supplementing an existing Treaty . Used in 'Type of Rule' field in the MLD . <i>[DMR]</i>	Maritime Legislation Database
Quality Assurance (QA)	Quality of a product during manufacture prior to its sale. Assurance provided to the consumer that all standards have been met before they purchase the product. <i>[ISO 9001]</i>	Shipping Regs
Quality Control (QC)	Each aspect of production is subject a first party declaration of conformity, second party assurance or third party assurance <i>[ISO 9000]</i>	Shipping Regs
Question Set	Audit questionnaire sent as part of pre-audit or inspection that helps to identify documents or other records that may be presented as evidence during audit or inspection or may be consulted during an inspection visit. <i>[ISO 19001]</i>	Shipping Regs
Recognised Organisation (RO)	A competent, independent organisation , external to the Ministry of Defence, authorised to provide third party assurance or to act on behalf of the Naval Authority or the Defence Maritime Regulator under the terms detailed in their letter of authorisation. Oversight provided by an RO significantly affects the safety or environmental protection of MOD Shipping activities with responsibilities beyond single duties under tort or delict. <i>[Adapted from the ISM Code]</i>	Shipping Regs and Diving Regs
Regulate	The act of regulating . A rule or order prescribed for management or government; a regulating principle; a precept <i>[Oxford Legal Dictionary]</i> The provision of regulatory policy, advice, guidance, exemptions, permissions, licences, audits, inspections, enforcement and investigations <i>[The Hampton Review]</i>	Shipping Regs
Regulated Port	A harbour or Dockyard as Defined by the Dockyard Act 1865 or other territorial sea of a UK Dependency from the high-water mark to the outermost permanent harbour works that form an integral part of a harbour system or forming part of the coast; but excluding off-shore installations and artificial islands not part of permanent harbour works. See MOD Port . <i>[Shipping and Pilotage (Ports and Harbours) Regs 66]</i>	Shipping Regs, Ports & Harbours Regs
Regulating	An authority providing third party assurance of compliance with laws, regulations and established rules (MOD or Statutory). A Regulator sets, enforces and assures mandatory requirements for a defined activity, using an empowered organisation. <i>[DMR]</i>	Shipping Regs

Term	Definition	DMR DSRP
Regulation	A 'prescribed rule or authoritative direction' of overarching mandatory activities or conditions that must be met without exception. The act of regulating - the enforcement of a prescribed rule or authoritative direction that is used to assure, support, encourage, advise and where necessary hold to account business to ensure that businesses effectively manage the risks they create. Used in 'Type of Rule' field in the MLD . <i>[Adapted from HSG65]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Regulation (non-UK)	A Statutory Rule not part of UK Statute. Used in 'Type of Rule' field in the MLD . <i>[DMR]</i>	Maritime Legislation Database
Regulator	An organisationally independent agency that assures compliance with laws, regulations and established rules (MOD or civilian), by setting, enforcing and assuring mandatory requirements for a defined activity, using an empowered organisation. <i>[Adapted from DEF STAN 00-056 Part 1, Issue 7 & DSA01.1]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Regulatory Concession	Invoking an exemption power or the lessening of a regulatory requirement for justifiable practical or operational reasons. <i>[DMR]</i>	Shipping Regs
Repealed	To revoke or rescind, especially by an official or formal act . Describes a specific type of amendment where existing text within a Rule ceases to have effect and may be removed. EU Directives, EU Regulations and Acts can be repealed. Used in 'Rule Status' field in the MLD . <i>[Black's Law Dictionary]</i>	Maritime Legislation Database
Reliability	The ability of an item to perform a required function under given conditions for a given time interval. <i>[BS4778]</i>	Shipping Regs
Residual Risk	The degree of specific risk remaining after action has been taken (mitigation strategy) to manage it, and articulating the assumptions making the action effective. <i>[DMR (Adapted from DEF STAN 00-56, Issue 4)]</i>	Shipping Regs
Responsible	An individual/organisation, with appropriate authority, that is expected to deliver an output/activity. Responsibility can be delegated as long as this is formally recorded. <i>[ASOM, Vn4, 1 April 2016]</i>	Shipping Regs
Restriction	A performance limit over and above a Limitation as a result of some particular deficiency, imposed to minimise the likelihood of a Significant Failure , usually communicated through a Mandatory Requirement and/or formal amendment of a Certificate or supporting document. <i>[DMR]</i>	Shipping Regs
Request for Certification	A short document that summarises the safety arguments for Certification and which references the supporting evidence . <i>[DMR]</i>	Shipping Regs
Revoked	A Rule that has been annulled or cancelled as if it never existed – it has not been replaced by another. Regulations and Orders can be revoked or Partially revoked. Used in the 'Rule Status' field in the MLD . <i>[DMR]</i>	Maritime Legislation Database
Risk	A product of the likelihood of harm and the severity of that harm . <i>[Risk (Safety) definition - DSA Master Glossary]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs

Term	Definition	DMR DSRP
Risk Acceptance	The systematic process by which stakeholders in the safety and environmental committee , confirm that the risks of harm may be accepted, in a planned and structured process. <i>[IEC 73:2009]</i>	Shipping Regs
Risk Aggregation	The combination of a number of risks into one risk to develop a more complete understanding of risk exposure. <i>[IEC 73:2009]</i> The process to identify and illustrate the interaction of several differently correlated individual risks of an organisation in order to obtain overall risk . <i>[ISO Guide 73:2009]</i>	Shipping Regs
Risk Analysis	The systematic identification of potential causes of hazards or failure modes that contribute to a hazard . <i>[DMR]</i>	Shipping Regs
Risk Assessment	Assessment of the likelihood and severity related to a hazard . <i>[MAA Master Glossary]</i>	Shipping Regs
Risk Attitude	An organisation's approaches to assess, eventually pursue, retain, treat or turn away from risk . <i>[IEC/ISO 73:2009]</i>	Shipping Regs
Risk Conflation	An accumulation of risk objectives where risk uncertainty affects assumptions or boundary conditions in the risk assessment of a major accident sequence exposing an individual or group to higher risks , or greater uncertainty due to the risk controls or barriers being altered. <i>[DMR]</i>	Shipping Regs
Risk Control System	A formal management process in which specific risk areas are considered in order to ensure, so far as is reasonably practicable, that such accidents, incidents or near misses are minimised. <i>[DMR]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Risk Estimation	The systematic use of available information to estimate risk . <i>[DEF STAN 00-056 Part 1, Issue 7]</i>	Shipping Regs
Risk Management	The identification, analysis , estimation, evaluation, reduction acceptance and performance monitoring of risk . <i>[IEC73]</i>	Shipping Regs
Risk Objectives	Different aspects of risk (financial, health, safety, environmental) applied at different levels (such as strategic, organisation-wide, project, product and process). <i>[IEC/ISO 73:2009]</i>	Shipping Regs
Risk Reduction	The systematic process of reducing risk . <i>[IEC/ISO 73:2009]</i>	Shipping Regs
Risk to Life (RtL)	That element of Risk of harm affecting people. <i>[Adapted from DEF STAN 00-056 Part 1, Issue 7]</i>	Shipping Regs
Risk Uncertainty	The complete or, even partial, state of a deficiency in information related to, the understanding or knowledge of, an event, its consequence or likelihood . <i>[IEC/ISO 73:2009]</i>	Shipping Regs
Rules	A generic category used in the MLD to refer to statute and all forms of legislation (incl. EU Decisions, EU Directives, EU Regulations, Acts and Statutory Instruments) and all other defined 'Types of Rules'. <i>[DMR]</i>	Maritime Legislation Database
Safe	Freedom from unacceptable or intolerable levels of harm . <i>[DEF STAN 00-056 Part 1, Issue 7]</i>	Shipping Regs

Term	Definition	DMR DSRP
Safe State	<p>A stable condition of a ship or ship's systems once the residual risk of a significant failure is mitigated; such that further degradation to the safety of the ship is arrested and that the current capability within a specified Hazard Area and any limitations, restrictions or mandatory requirements imposed are understood by the Command.</p> <p>A condition specified by an Authority or DAP, that meets the Goal (Regulation 1), usually when specified defects or deficiencies have been addressed such that the design intent can be met, assumed material state achieved or training assumptions fulfilled. See Material State.</p> <p style="text-align: right;"><i>[DMR]</i></p>	Shipping Regs
Safe	<p>When the overall risks of harm to MOD employees, third parties, and property, are broadly acceptable or tolerable and ALARP.</p> <p style="text-align: right;"><i>[DMR]</i></p>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Safety and Environmental Arrangements	<p>The overarching Senior Duty Holder level strategy and structure for managing safety and environmental protection and discharging associated responsibilities.</p> <p style="text-align: right;"><i>[Adapted from HSE]</i></p>	Shipping Regs
Safety and Environmental Committee	<p>A group advising Duty Holders consisting of competent stakeholders that exercises, oversees, reviews and endorses Safety and Environmental Management and safety engineering and environmental protection activities.</p> <p style="text-align: right;"><i>[Adapted from DEF STAN 00-056 Part 1, Issue 7]</i></p>	Shipping Regs
Safety and Environmental Culture	<p>The characteristic belief, values or philosophy held by organisations, groups and individuals and expressed within a Safety and Environmental Management System. Evidence of the culture will be seen in the effort devoted to Safety and Environmental Management, the quality of the outputs or Safety and Environmental climate.</p> <p style="text-align: right;"><i>[DMR]</i></p>	Shipping Regs
Safety and Environmental Management	<p>The means by which organisational and management and engineering principles are applied in order to achieve safety of personnel and protection of the environment with high confidence.</p> <p style="text-align: right;"><i>[Adapted from the HSE and DEF STAN 00-056 Part 1, Issue 7]</i></p>	Shipping Regs
Safety and Environmental Management Schedule	<p>The part of a Safety and Environmental Management Plan that documents safety or environmental protection timescales, milestones and other date-related information.</p> <p style="text-align: right;"><i>[DMR]</i></p>	Shipping Regs
Safety and Environmental Management System	<p>The organisational structure, processes, procedures and methodologies that enable the direction and control the activities necessary to meet Safety or Environmental Requirements and policy objectives.</p> <p style="text-align: right;"><i>[Adapted from DEF STAN 00-056 Part 1, Issue 7]</i></p>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Safety and/or Environmental Case	<p>A structured argument supported by a body of evidence that provides a compelling, comprehensible and valid case that a platform or system is safe to operate and is operated safely and environmentally sound for a given application in a given operating environment.</p> <p style="text-align: right;"><i>[Adapted from DEF STAN 00-056 Part 1, Issue 7]</i></p>	Shipping Regs and Diving Regs
Safety and/or Environmental Case Report	<p>A report that summarises the arguments and evidence of the Safety and Environmental Case and documents progress against the Safety and Environmental Management Plan.</p> <p style="text-align: right;"><i>[Adapted from DEF STAN 00-056 Part 1, Issue 7]</i></p>	Shipping Regs and Diving Regs

Term	Definition	DMR DSRP
Safety and/or Environmental Management Plan	A document that defines the strategy for addressing safety or environmental protection and may document the Safety and Environmental Management System for a specific project. <i>[Adapted from DEF STAN 00-056 Part 1, Issue 7]</i>	Shipping Regs
Safety and/or Environmental Requirement	A requirement that, once met, contributes to the safety or environmental protection of the system or the evidence that the safety or environmental protection of the system is environmentally sound . <i>[Adapted from DEF STAN 00-056 Part 1, Issue 7]</i>	Shipping Regs
Safe to Operate	The collective assessment of each RCS by the accountable person (usually the Platform Authority) that specified DLOD (particularly the material state of a ship, its systems and equipment), are safe given the known DLOD and the extant knowledge of the operational requirement. See also ' Operate Safely '. <i>[DMR]</i>	Shipping Regs
Sea Command	The authority to exercise command of sea-going ships forming part of the Royal Navy or other warships . It includes both military command authority and the Conduct of the ship by conducting direction of a team or management of a series of tasks in the performance of a function, or activity e.g. conduct of navigation, or operations, the planning execution of Defence Tasks . The authority to delegate to other officers to conduct watches for the safety of the ship at sea. <i>[QRRN]</i>	Shipping Regs
Senior Duty Holder (SDH)	A person with overall responsibility for safety and environmental protection management of MOD Shipping within a TLB, on behalf of the Secretary of State. <i>[DSA01.1]</i>	Shipping Regs, Diving Regs
Senior Manager	Any person with responsibility for allocating resources or providing direction or advice to Accountable Persons including Duty Holders, Duly Authorised Persons or other Authorities . <i>[DMR]</i>	Shipping Regs
Ship	A manned or unmanned maritime platform used in navigation and or delivery of a Defence Task (warship , submarine, submersible, MOD Ship , boat, novel craft, reserve or cadet vessel (unless permanently moored alongside), navigable barges operated on open water (whether powered or not), amphibious landing or riverine craft. This does <i>not</i> include floating jetties, cranes, docks and pontoons and other items used as part of permanent dockyard infrastructure. <i>[DMR]</i>	Shipping Regs
Ship Class	A group of sister ships from within a Ship Type , built to similar design, size, role and traditionally named after first commissioned ship . <i>[DMR/IMO Code]</i>	Shipping Regs
Ship Management Certificate (SMC)	A certificate held by a Ships Commanding Officer by a DDH in accordance with, Regulation 5.1 and DSA03-DMR-DCOP04 - Organisational Certification of Defence Shipping Regulations. <i>[DMR]</i>	Shipping Regs
Ship Type naming system (DD/FF)	A 1950's classification system for identifying RN surface escorts of a ship class , (Admiralty Fleet Order 167/135). Type 1x and 2x Anti-Submarine Warfare (ASW) Frigate, Type 4x Anti-Aircraft Warfare (AAW) Destroyer, Type 6x Aircraft Direction Warfare (ADW) Frigate, Type 3x and 8x Multi-role. Destroyers have achieved fleet speed, sloops have not. <i>[DMR/Navy Command]</i>	Shipping Regs

Term	Definition	DMR DSRP
Ship's Commanding Officer	A person charged with Sea Command for the safe operation, navigation and conduct of defined on-board shipping activities . They may hold authority under Queen's Regulations for Military activities (traditionally titled Captain, irrespective of rank) or hold additional authority for military activities , when serving as a Ship's Master on Government Shipping . <i>[DMR/Navy Command]</i>	Shipping Regs
Shipping activity	Any act (on land, air or at sea) forming part of the functioning of MOD Shipping . Any reasonably foreseeable military or civil activity using a ship system (equipment, process or personnel) as specified according to its certified operating envelope to usually (but not exclusively) form part of general military capability or a Force Element. <i>[DMR]</i>	Shipping Regs
Shipping Regulations	The DSA02-DMR-MOD Shipping Regulations <i>[DSA02-DMR-MOD Shipping Regulations]</i>	Shipping Regs
Ship Type	One of the following forms of MOD Shipping : a. One of the Merchant ship types registered under the Merchant Shipping Act 1995 (MSA95) and chartered for benefit of the crown. They should hold a compliance statement evidencing conformance to International Maritime Organization (IMO) conventions; International Labour Organization (ILO) Maritime Labour Convention (MLC); European Union (EU). b. Government Ship types registered as UK Merchant Shipping , enacted under clauses of the Merchant Shipping Act 1995. When designated as MOD Ships , their NATO Ship classification letters, and pennant number prefix, include: Auxiliary (Axx), and Port Auxiliary (Yxx); c. Military Ship types registered exclusively as UK MOD Shipping (surface warships, submarines, novel) generally with more extensive DED than a Government Ship type but a baseline of comparable legal goals (compliance argument) to inform functional risk analysis . When designated, their NATO Ship classification letters, and pennant number prefix, include: Aircraft Carrier (CVx), Cruiser (Cx), Destroyer (Dxx), Frigate (Fxx), Inshore Patrol (Pxx), Landing/Amphibious (Lxx), Mine countermeasures (Mxx), Offshore Patrol (OPx), Submarine (SSx). <i>[DMR/Navy Command]</i>	Shipping Regs
Significant failure	A defect or fault of a system (within a Hazard Area) that can cause, or has the potential to cause, an adverse functioning of the ship , or could initiate a major accident (Key Hazard Area) . Failures that reduce the available redundancy of a system are considered significant in this context. <i>[DMR]</i>	Shipping Regs
Sponsor	The Ministry of Defence requirements and planning staff, to whom the Platform Authority and Delivery Duty Holders are charged with providing Ships that, meet the Goal of these regulations prior to the In-service Phase. An Operating Duty Holder's function on appointment. <i>[DMR]</i>	Shipping Regs

Term	Definition	DMR DSRP
SQEP	Suitably Qualified and Experienced Personnel. Attributes of a competent person: Suitable behaviours and attitude; necessary academic qualifications, training and sufficient level of Experience to enable them to carry out any specific Safety and Environmental Protection role defined in their Letter of Delegation / Authority or Terms of Reference for their post. <i>[DMR]</i>	Shipping Regs and Diving Regs
Standard	A set of technical definitions, rules, codes or practice and guidelines that function as instructions to designers, manufacturers, operators of users of equipment . It provides a solution or generic risk control selected as being to the nature of the system and its intended use or to an activity, which will eliminate or reduce risk from one or more hazards . <i>[Adapted from ASME and HSE R2P2]</i>	Shipping Regs
Standard Operating Limit	Requires Definition (Term taken from DSA02-DMR-Shipping Regs, page 3, para 13). <i>[DMR]</i>	Shipping Regs
Statutory Authority	A Regulator with authority for safety legislation, the regulation and development of that legislation and enforcement by censure on behalf of government. Statutory Authorities include: <ul style="list-style-type: none"> a. Maritime and Coastguard Agency (MCA) is the Statutory Authority for merchant shipping safety and environmental legislation under the Merchant Shipping Act (MSA); b. Health and Safety Executive (HSE) is the Statutory Authority for safety legislation ashore and on offshore oil rigs, under the Health and Safety at Work etc. Act, 1974; c. Environment Agency (EA) is the Statutory Authority for environmental protection legislation for England and Wales ashore and within territorial waters, under the Environment Act & Environmental Protection Act. <i>[DMR]</i>	Shipping Regs
Statutory Instrument (SI)	A form of secondary or subordinate legislation (UK Statute) passed as an Order or Regulation under the powers or the provisions of an Act of Parliament and subsequently brought into force or altered without Parliament having to pass a new Bill . They are also referred to as secondary, delegated or subordinate legislation and can also be used to amend, update or enforce existing primary legislation. Statutory instruments (SIs) are as much a part of the law as Acts of Parliament (primary legislation) and automatically come into force but Parliament has the power to annul them. <i>[http://www.parliament.uk/business/bills-and-legislation/secondary-legislation/statutory-instruments/]</i>	Maritime Legislation Database
Subject to Ratification	Ratification refers to the agreement (Accession) by a state (country) to be bound by the terms of a particular Treaty . In the MLD , subject to ratification refers to the stage whereby the Agreement or Accession is waiting to be signed and the national obligations of the state are not yet implemented. While the Rule , is subject to ratification compliance with the requirements of the Treaty is voluntary. Used in the 'Rule Status' field in the MLD . <i>[DMR]</i>	Maritime Legislation Database
Regulation	A prescribed rule or authoritative direction required by a statutorily empowered regulator body. Civil Regulatory Policy are highlighted within the Defence Safety Regulation Publication Suite by the executive verb must (highlighted in bold for visual impact) to indicate were that a person has a mandatory legal duty. <i>[DMR]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs

Term	Definition	DMR DSRP
Subject Matter Expert (SME)	Person who has specific knowledge or expertise in a defined area used by a professional officer or to support inspection team. (e.g. OME specialist). <i>[DMR]</i>	Shipping Regs
Subject Matter Experts (SME)	Any organisation , with specialist technical or professional expertise, considered competent in their subject area. They may also be responsible for producing/identifying standards and codes of practice and/or for providing advice and guidance relating to specialist areas not subject to Naval Authority regulation . <i>[DMR]</i>	Shipping Regs
Superseded	A Rule that has been replaced by another Rule , so that the previous Rule is no longer in force (Extant). Used in 'Rule Status' field in the MLD . <i>[DMR]</i>	Maritime Legislation Database
System	A combination, with defined boundaries, of elements that are used together in a defined operating environment to perform a given task or achieve a specific purpose. The elements may include personnel, procedures, materials, tools, products, facilities, services and/or data as appropriate. <i>[DEF STAN 00-056 Part 1, Issue 7]</i>	Shipping Regs, Ports & Harbours Regs and Diving Regs
Technical Assurance	Process where the technical integrity of the process, product or system is maintained and monitored. <i>[Oxford Legal Dictionary]</i>	Shipping Regs
Tolerability Criteria	Quantitative or qualitative measures for determining whether a risk is unacceptable, tolerable or broadly acceptable. <i>[DMR]</i>	Shipping Regs
Tolerable	A level of risk that may be tolerated when it has been demonstrated that the risk is ALARP and is not unacceptable and compliant with the Goal . <i>[Adapted from HSE R2P2 and MAA Master Glossary]</i>	Shipping Regs
Training Authority	An organisation , or federation of organisations, responsible for the delivery and assurance of the training required to achieve the competence levels (both individual and collective) detailed in the Organisational baseline to enable the safe conduct of Defence activities . <i>[DMR]</i>	Shipping Regs
Treaty	See Agreement . Used in the 'Type of Rule ' field in the MLD . <i>[DMR]</i>	Maritime Legislation Database
UK Protected Ship	Those Ships registered under the laws of a relevant British possession (including overseas possessions), visibly displaying its port of registry and a visible sign of that British territory or overseas possession, upon a defaced red ensign. <i>[Adapted from Merchant Shipping Act 1995]</i>	Shipping Regs
UK Small Ship	A Ship type other than a fishing vessel below 12.5m or 300te, classified separately according to a Code, which meets specified goals or criteria of the MSA 1995. <i>[Merchant Shipping Act 1995]</i>	Shipping Regs
Unacceptable	A level of risk that is tolerated only under exceptional and specific circumstances. <i>[DMR]</i>	Shipping Regs
UNCLOS	United Nations Convention on the Law of the Sea. <i>[UNCLOS]</i>	Shipping Regs
United Kingdom Fishing Vessel	A merchant ship type used for fishing, with visible markings and plain red ensign. <i>[Section 85, 144(3)) and Part V of MSA95]</i>	Shipping Regs

Term	Definition	DMR DSRP
United Kingdom Ship	A Merchant ship , defined under the Merchant Shipping Act (MSA) 1995 which conducts International voyages (Part II of MSA 1995), visibly displaying its port of registry and a plain red ensign. <i>[Adapted from the Merchant Shipping Act 1995]</i>	Shipping Regs
Warship	A ship belonging to the armed forces of a State bearing the external marks distinguishing such ships of its nationality, under the command of an officer duly commissioned by the government of the State and whose name appears in the appropriate service list or its equivalent, and manned by a crew which is under regular armed forces discipline. <i>[United Nations Convention on the Law of the Sea (UNCLOS)]</i>	Shipping Regs
Warships in Harbour	The safety assessment of explosives as a hazard footprint of MOD shipping within a Regulated Port . <i>[DMR]</i>	Shipping Regs
Worker 2nd Party	Temporary member of the ship's crew employed to conduct a specific task (e.g. scientists conducting tests at sea). Subject to sea command of the ships commanding officer and accountable to a duty holder . A member of the Defence Enterprise employed to conduct a specific task within or adjacent to a Defence activity. Under the line management of an accountable person and usually accountable to a duty holder . <i>[DMR]</i>	Shipping Regs
Worker 3rd Party	A person temporarily on board a ship or adjacent to that ship, MOD port or harbour but not part of a ship's crew , or Defence Enterprise to conduct a specific task for maintenance, repair or trials, within UK territorial limits. Subject to H&S legislation and sea command . <i>[DMR]</i>	Shipping Regs